

033104  
Barcode

18379 U.S.PTO

|  |                         |  |  |
|--|-------------------------|--|--|
| UTILITY<br>PATENT APPLICATION<br>TRANSMITTAL | Attorney Docket No.:    | 414068AUS  |  |
|  | First Inventor:         | Yoshihiro Moriguchi et al.   |  |
|  | Title:                  | SUBSTRATE TREATMENT APPARATUS, SUBSTRATE TREATMENT METHOD AND SUBSTRATE MANUFACTURING METHOD |  |
|  | Express Mail Label No.: | ER 926340200 US  |  |

(Only for new nonprovisional applications under 37 CFR 1.53(b))

22581 J.S.PTO  
10/8/13926033104  
Barcode

|  |  |  |  |
|--|--|--|--|
| APPLICATION ELEMENTS<br>(see MPEP chapter 600 concerning utility patent application contents)  |  | ADDRESS TO:  | Mail Stop Patent Application<br>Commissioner for Patents<br>P.O. Box 1450<br>Alexandria, VA 22313-1450 |
| <p>1. <input type="checkbox"/> Fee Transmittal Form in duplicate<br/>(Submit an original and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status<br/>See 37 CFR 1.27</p> <p>3. <input checked="" type="checkbox"/> Specification Total Pages <span style="border: 1px solid black; padding: 2px;">53</span></p> <p>(preferred arrangement set forth below)</p> <p>-Descriptive title of the invention</p> <p>-Cross Reference to Related Applications</p> <p>-Statement Regarding Fed sponsored R&amp;D</p> <p>-Reference to sequence listing, a table,</p> <p>-Background of the Invention</p> <p>-Brief Summary of the Invention</p> <p>-Brief Description of the Drawings (if filed)</p> <p>-Detailed Description</p> <p>-Claim(s)</p> <p>-Abstract of the Disclosure</p>                             |  | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence<br/>(if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. <input type="checkbox"/> Specification Sequence Listing on:</p> <p>i. <input type="checkbox"/> CD-ROM or CD-4 (2 copies);</p> <p>ii. <input type="checkbox"/> or paper</p> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p> |  |
| ACCOMPANYING APPLICATION PARTS   |  |  |  |
| <p>9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) <input type="checkbox"/> Power of Attorney Statement (when there is an assignee)</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> IDS <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document</p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input checked="" type="checkbox"/> Other: <u>Unsigned Declaration</u></p> |  |  |  |
| <p>6. <input type="checkbox"/> Application Data Sheet under 37 CFR 1.76</p>  |  |  |  |

18. IF A CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment:

Continuation  Divisional  Continuation-in- Part (CIP) Prior Appl. No.  

Prior Appl. information: Examiner:   Group/Art Unit:  

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

|   |   |           |                  |   |
|---|---|-----------|------------------|---|
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label | 27774   |           | or               | <input type="checkbox"/> Correspondence address below |
| Name  |   |           |                  |   |
| Address   | Mayer Fortkort & Williams, PC<br>251 North Avenue West, 2 <sup>nd</sup> Floor |           |                  |   |
| City  | Westfield   | State     | NJ               | Zip Code  |
| Country   | USA   | Telephone | 703-435-9390     | Fax   |
| Name  | Michael P. Fortkort   |           | Registration No. | 35,141  |
| SIGNATURE   | <i>Michael P. Fortkort</i>  |           | Date             | 5/22 3/31/04  |

BEST AVAILABLE COPY

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Yoshihiro Moriguchi et al.

Docket No.

414068AUS

Serial No.  
UnassignedFiling Date  
Filed HerewithExaminer  
UnassignedGroup Art Unit  
UnassignedInvention: **SUBSTRATE TREATMENT APPARATUS, SUBSTRATE TREATMENT METHOD AND SUBSTRATE MANUFACTURING METHOD**

I hereby certify that the following correspondence:

**New U.S. Patent Application***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

03/31/2004*(Date)*Marjorie Scariati*(Typed or Printed Name of Person Mailing Correspondence)*Marjorie Scariati*(Signature of Person Mailing Correspondence)*ER 926340200 US*("Express Mail" Mailing Label Number)*

Note: Each paper must have its own certificate of mailing.